



Rec'd PCT/PTO 25 AUG 2005

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I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313  
on August 23, 2005

Date of Deposit

Jasper W. Dockrey, Reg. No. 33,868

Name of applicant, assignee or  
Registered Representative

*Jasper W. Dockrey*  
Signature  
August 23, 2005  
Date of Signature

Case No. 10808/162 (In1226WOUS)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

FÖRSTER et al.

Serial No.: 10/511,851 ✓

Filing Date: October 14, 2004

For: METHOD FOR FILING A CONTACT  
HOLE AND INTEGRATED CIRCUIT  
ARRANGEMENT WITH CONTACT HOLE

Examiner:

Not Yet Assigned

Group Art Unit:

Not Yet Assigned

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

In accordance with the Notification of Missing Requirements of Application Filing Date Granted dated June 23, 2005, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.

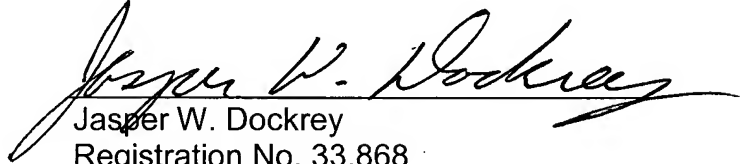
- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$130.00 for:
- ☐ Filing Fee of \$\_\_\_\_.
- ☒ Surcharge of \$130.00.
- ☐ Additional Claim Fees of \$\_\_\_\_.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☐ Other: \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

09/01/2005 ATRAN1 00000119 10511851

01 FC:1617

130.00 OP

Respectfully submitted,

  
Jasper W. Dockrey  
Registration No. 33,868  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, Illinois 60610  
(312) 321-4200

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: August 23, 2005 Name: Jasper W. Dockrey, Reg. No. 33,868 Signature: Jasper W. Dockrey

BRINKS  
HOFFER  
GILSON  
& STONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

FÖRSTER et al.

Serial No.: 10/511,851

Filing Date: October 14, 2004

For: METHOD FOR FILING A CONTACT HOLE AND  
INTEGRATED CIRCUIT ARRANGEMENT WITH  
CONTACT HOLE

Our Case No. 10808/162

Examiner:  
Not Yet Assigned

Group Art Unit:  
Not Yet Assigned

Infineon No. (In1226WOUS)

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached are:

☒ Notification Of Missing Requirements Under 35 U.S.C. 371; Response To Notification Of Missing Requirements Under 35 U.S.C. 371; Declaration For Patent Application, Power Of Attorney & Designation Of Correspondence Address.

☒ Return Receipt Postcard

The PTO did not receive the following  
listed item(s) post card

## Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

## Fee payment:

- ☒ A check in the amount of \$130.00 for the Declaration surcharge is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

August 23, 2005

Date

Jasper W. Dockrey  
Jasper W. Dockrey (Reg. No. 33,868)

Rec'd PCT/PTO 25 AUG 2005

PCT #

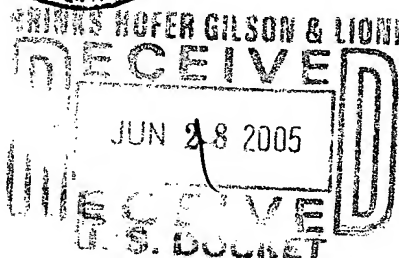
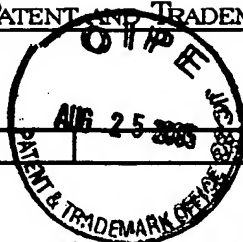


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/511,851	FIRST NAMED APPLICANT Jurgen Forster	ATTY. DOCKET NO. 10808/162
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Brinks Hofer Gilson & Lione  
 P O Box 10395  
 Chicago, IL 60610



INTERNATIONAL APPLICATION NO.

PCT/DE03/00861

I.A. FILING DATE

11/13/2003

PRIORITY DATE

04/29/2002

CONFIRMATION NO. 4839

371 FORMALITIES LETTER



\*OC000000016254648\*

Date Mailed: 06/23/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/14/2004
- Copy of the International Search Report filed on 10/14/2004
- Copy of IPE Report filed on 10/14/2004
- Copy of Annexes to the IPER filed on 10/14/2004
- Preliminary Amendments filed on 10/14/2004
- Information Disclosure Statements filed on 10/14/2004
- Request for Immediate Examination filed on 10/14/2004
- U.S. Basic National Fees filed on 10/14/2004
- Priority Documents filed on 10/14/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/511,851	PCT/DE03/00861	10808/162

FORM PCT/DO/EO/905 (371 Formalities Notice)